Despite years of research and hundreds of reports on tumor markers in oncology, the number of markers that have emerged as clinically useful is pitifully small.1-3 Often, initially reported studies of a marker show great promise, but subsequent studies on the same or related markers yield inconsistent conclusions or stand in direct contradiction to the promising results. It is imperative that we attempt to understand the reasons that multiple studies of the same marker lead to differing conclusions.

A variety of problems have been cited to explain these discrepancies, such as general methodologic differences, poor study design, assays that are not standardized or lack reproducibility, and inappropriate or misleading statistical analyses that are often based on sample sizes too small to draw meaningful conclusions.4-11 For example, in retrospective studies, patient populations are often biased toward patients with available tumor specimens. Specimen availability may be related to tumor size and patient outcome,12 and the quantity, quality, and preservation method of the specimen may affect feasibility of conducting certain assays. There can also be biases or large variability inherent in the assay results, depending on the particular assay methods used.13-17 Statistical problems are commonplace. These problems include underpowered studies or overly optimistic reporting of effect sizes and significance levels due to multiple testing, subset analyses, and cut point optimization.18

Unfortunately, many tumor marker studies have not been reported in a rigorous fashion, and published articles often lack sufficient information to allow adequate assessment of the quality of the study or the generalizability of study results. Such reporting deficiencies are increasingly being highlighted by systematic reviews of the published literature on particular markers or cancers.19-25

The development of REMARK guidelines was a major recommendation of the NCI-EORTC First International Meeting on Cancer Diagnostics (From Discovery to Clinical Practice: Diagnostic Innovation, Implementation, and Evaluation) that was convened in Nyborg, Denmark, in July 2000. The purpose of the meeting was to discuss issues, accomplishments, and barriers in the field of cancer diagnostics. Poor study design and analysis, assay variability, and inadequate reporting of studies were identified as some of the major barriers to progress in this field. One of the working groups formed at the Nyborg meeting was charged with addressing statistical issues of poor design and analysis, and reporting of tumor marker prognostic studies. The guidelines that we present in this article are the product of that committee. The Program for the Assessment of Clinical Cancer Tests (PACCT) Strategy Group of the US NCI has also strongly endorsed this effort (http://www.cancerdiagnosis.nci.nih.gov/assessment/).

The guidelines that we present in this article build on earlier suggestions21,26-29 and on educational publications.30-33 The guidelines recommend elements and formats for presentation with the objectives of facilitating evaluation of the appropriateness and quality of study design, methods, analyses, and improving the ability to compare results across studies. As for the successful CONSORT initiative for randomized clinical trials,34 and the STARD statement for studies of diagnostic test accuracy,35 these
guidelines suggest relevant information that should be provided about the study design, preplanned hypotheses, patient and specimen characteristics, assay methods, and statistical analysis methods. In addition, the guidelines suggest helpful presentations of data and important elements to include in discussions. Specific justifications for the need for each of the elements of the recommendations are to be published elsewhere in an explanatory document.

We have developed these reporting guidelines primarily for studies evaluating a single tumor marker of interest, often including adjustment for standard clinical prognostic variables. They are largely relevant for studies exploring more than one marker, but they are not intended to specifically address statistical considerations in development of prognostic models from large numbers of candidate markers. The reason we chose to emphasize prognostic marker studies is that they represent a large proportion of the tumor marker literature and tend to be particularly fraught with problems because they are often conducted on retrospective collections of specimens and analyses may contain substantial exploratory components. For this article, we define prognostic markers to be markers that have an association with some clinical outcome, typically a time-to-event outcome such as overall survival or recurrence-free survival. (Some individuals adhere to a more strict definition of prognostic marker as applying only to the natural history of patients who received no treatment following local therapy.) Prognostic markers may be considered in the clinical management of a patient. For example, they may be used as decision aids in determining whether a patient should receive adjuvant chemotherapy or how aggressive that therapy should be. Predictive markers are generally used to make more specific choices between treatment options. Predictive markers are used as indicators of the likely benefit to a specific patient of a specific treatment. For example, a predictive marker might indicate that a patient expressing the marker will benefit more from a new treatment than from a standard treatment, whereas a patient not expressing the marker will derive little or no benefit from the new treatment. Predictive marker studies usually occur later in the marker development process, and there are far fewer published examples. Knowledge of specific treatments received, and of how those treatment decisions were made, becomes even more critical. In our judgment, the issues in reporting predictive marker studies are complex and different enough from those of prognostic marker studies that we are not willing to claim that these guidelines give predictive marker studies adequate coverage, although we believe that most of the guidance is relevant to such studies also.

The goal of these guidelines is to encourage transparent and complete reporting so that the relevant information will be available to others to help them to judge the usefulness of the data and understand the context in which the conclusions apply. These guidelines are not intended to dictate specific designs or analysis strategies. In general, there is more than one acceptable approach to the design or analysis of a particular study, although these guidelines should help to eliminate some clearly unacceptable options as have been discussed in other articles. For example, unacceptable options include reporting statistical significance of a marker’s prognostic effect without acknowledging that the significance testing was preceded by extensive manipulations involving derivation of data-dependent cut points or variable selection procedures. High-quality reporting of a study cannot transform a poorly designed or analyzed study into a good one, but it can help to identify the poor studies, and we believe it is an important first step in improving the overall quality of tumor marker prognostic studies.

Initial ideas for key elements to be addressed in the guidelines were assembled from literature citing empirical evidence of inadequate reporting or problematic analysis methods that are based on published reviews of tumor marker studies. Ideas were also generated by reviewing similar reporting guidelines that have been produced for other types of medical research studies (CONSORT, Quality of Reporting of Meta-Analyses [QUOROM], Meta-analysis Of Observational Studies in Epidemiology [MOOSE], and STARD). Three individuals from the working group (L.M., D.A., and G.C.) wrote a first draft to serve as a starting point for discussion by the full group. Comments on drafts were made by the full group during a conference call and through multiple e-mail exchanges. A preliminary draft was presented to the PACCT Strategy Group in January 2001. In response to comments received by the group, the guidelines were shortened, reformatted, and recirculated to the full committee. They were posted to the PACCT Web site (http://www.cancerdiagnosis.nci.nih.gov/assessment/progress/clinical.html) for public comment and circulated to attendees of the NCI-EORTC Second International Meeting on Cancer Diagnostics (Conference on the Development of New Diagnostic Tools for Cancer) that was held in Washington, DC in June 2002. In February 2003, three committee members (D.A., L.M., and W.S.) met for 2 days to make further revisions. The version produced in that February meeting was sent to the full committee for final comment. The version presented here incorporates those final comments and was approved by the full committee.

Table 1 presents the recommendations for reporting studies on tumor markers. Specific items are grouped under headings: Introduction, Materials and Methods, Results, and Discussion, reflecting the relevant sections of a published scientific article. Further details about the recommendations and explanatory material will be provided elsewhere.

**PATIENTS AND METHODS**

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**RESULTS**

Table 1 presents the recommendations for reporting studies on tumor markers. Specific items are grouped under headings: Introduction, Materials and Methods, Results, and Discussion, reflecting the relevant sections of a published scientific article. Further details about the recommendations and explanatory material will be provided elsewhere.
As noted within the data section of the guidelines (item 12), a diagram may be helpful to indicate numbers of individuals included at different stages of a study. As a minimum, such a diagram could show the number of patients originally in the sample, the number remaining after exclusions, and the numbers incorporated into univariate and multivariable analyses.

As noted within the data section of the guidelines (item 12), a diagram may be helpful to indicate numbers of individuals included at different stages of a study. As a minimum, such a diagram could show the number of patients originally in the sample, the number remaining after exclusions, and the numbers incorporated into univariate and multivariable analyses.
with evaluating and prioritizing tumor markers for further study or making recommendations for clinical use. We also are actively involved in our own research involving tumor markers. As editors, reviewers, and program and advisory committee members, we have struggled with having to make decisions when insufficient information is provided about study design or analysis methods. As individual investigators, we have experienced the frustration of trying to interpret confusing literature to guide our own research programs.

There are consequences of poor study reporting for the research community as a whole. Poorly designed or inappropriately analyzed studies can attract undeserved attention when they produce dramatic but unfortunately incorrect results. In contrast, some carefully designed and analyzed studies have been overlooked because they produced less dramatic but perhaps more accurate and realistic results. The poor quality of reporting of prognostic marker studies may have contributed to the relative scarcity of markers whose prognostic influence is well supported. Thorough reporting is required no matter what methods of design and analysis are used, though it does not solve problems of poor design or analysis that are being reported; rather, it just fairly describes what problems may exist and need to be considered in interpretation. It is our hope that these guidelines will be embraced and used by journal editors, reviewers, funding agencies, decision-making bodies, and individual investigators.

These guidelines have been labeled as applying to clinical prognostic studies. Not all of the elements apply to studies conducted in earlier phases of marker development, for example, early marker studies seeking to find an association between a new marker and other clinical variables or existing prognostic factors. However, our recommendation is that investigators conducting early marker studies should strive to adhere to as many of the reporting guidelines as applicable in their situation, and the guidelines might also suggest issues that will be important for them to consider in planning follow-up studies on their investigational markers. Studies of markers that can be used to predict the success of particular therapies, such as molecular targeted therapies, need additional considerations. It is our opinion that predictive marker studies should generally be conducted within randomized trials and should require a sufficient (usually larger) effective sample size and that assays should be in a more advanced state of development. The CONSORT statement for randomized clinical trials can serve as a starting point for reporting guidelines for predictive marker studies, but additional issues relating to the marker assays must be addressed. It is our feeling that more stringent and specific guidelines need to be developed for reporting studies of predictive markers. Such studies will be considered in somewhat more detail in the planned explanatory article to be published elsewhere.

It may not be possible to report every detail for every study. For example, it is often difficult to provide detailed patient inclusion/exclusion criteria or treatment information in retrospective prognostic marker studies using archived tumor specimens. The impact of such missing information must be judged in the specific context of the study and its stated conclusions. For example, a “pure” prognostic study should be conducted in a group of patients who have not received any systemic adjuvant therapy, but treatment information is often missing or unreliable in retrospective studies. In these cases, it is important to recognize that apparent “prognostic” effects may be influenced by potential treatment by marker interactions. The key point is that there must be a clear statement of what is and is not known. In addition, it was beyond the scope of these guidelines to recommend specific details that should be reported for each of the major classes of marker assays, for example, immunohistochemistry, in situ hybridization methods, or DNA-based assays. There is an ongoing effort to define such assay-specific checklists by another working group evolving from the NCI-EORTC International Meetings on Cancer Diagnostics.

Some of the reviewers suggested that the guidelines should promote full public access to data, possibly even individual-level data. We have chosen not to include this issue in the current scope of the guidelines even though we view movement in this direction as generally positive. One concern is that if a study was poorly designed or inadequately reported, making its data publicly available may simply propagate bad science. Good study design and data quality have to come first. We do recognize the potential benefits of promoting full public access to good quality data. It would allow verification of published analysis methods and results and would facilitate alternative analyses and meta-analyses. Attainment of these goals would be helped substantially if the statistical analysis methods reporting guidelines (items 10 and 11) were strictly applied and analyses were described in sufficient detail to allow an individual independent of the original research team to reproduce the results of the study if supplied with the raw data. For extensive analyses, it is possible that some of this information would have to be provided as supplementary material available outside of the main published report, for example on the journal’s or author’s Web site.

Although some might view adherence to these guidelines as yet another burden in trying to publish or obtain funding, we would argue that use of these guidelines is more likely to reduce burdens on the research community. Making clear what is considered relevant and important to report in journal articles or funding proposals will likely reduce review time, reduce requests for revisions, and help to ensure a fair review process. Furthermore, we consider it as a prerequisite for a thoughtful presentation and interpretation of the results of a specific study and a key aid for a summary assessment of the effect of a marker in a review paper. Most importantly, what greater reduction in burden could there be than to eliminate some of the false leads generated by poorly designed, analyzed,
or reported studies that send researchers down unproductive paths, wasting years of time and money?

The ultimate usefulness of these guidelines will rely on how widely they are adopted. We are heartened by the enthusiastic responses that we received from the several journals that have agreed to simultaneously publish this article. There is a clear recognition in the community that the time has come (if not long overdue) to improve the quality of tumor marker study reporting and conduct. We hope that many journals will adopt these guidelines as part of their editorial requirements. To the extent that it does not happen immediately, we have to rely on authors of journal articles and reviewers of those articles to initiate the movement toward adherence to these guidelines.

We expect that just as tumor marker research will evolve, these guidelines will have to evolve to address new study paradigms and new assay technologies. It is our hope that publication of these guidelines will generate vigorous discussions leading to continually improved versions and, ultimately, improved quality of tumor marker studies.

The guidelines presented in this article are available at http://www.cancerdiagnosis.nci.nih.gov/assessment/progress/clinical.html As noted, a detailed explanatory article is to be published elsewhere, following the model of similar articles relating to the CONSORT and STARD statements.41,42

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Appendix

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Authors’ Disclosures of Potential Conflicts of Interest

Although all authors completed the disclosure declaration, the following author or immediate family members indicated a financial interest. No conflict exists for drugs or devices used in a study if they are not being evaluated as part of the investigation. For a detailed description of the disclosure categories, or for more information about ASCO’s conflict of interest policy, please refer to the Author Disclosure Declaration and the Disclosures of Potential Conflicts of Interest section in Information for Contributors.

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REFERENCES